UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Administrative Proceedings Rulings Release No. 5563 / February 1, 2018

Administrative Proceeding File No. 3-18127

In the Matter of

Martin Shkreli

Order Following
Prehearing Conference

During yesterday's prehearing conference I set a briefing schedule for the Division of Enforcement's motion for reconsideration of my order denying in part its motion for summary disposition. The Division's brief is due February 9, 2018, Shkreli's opposition is due February 23, and the Division's reply is due February 28.

I also asked the parties to confer and submit a joint letter by February 9, 2018, providing their availability for a hearing in April or May 2018, and discussing the hearing location. As my colleague has noted, conducting a hearing involving a respondent who is in custody raises unique issues relating to, among other things, the Commission's Rules of Practice and logistical concerns.² I urge the parties to take these concerns into consideration during their discussions of how best to conduct the hearing.

James E. Grimes Administrative Law Judge

¹ See Martin Shkreli, Admin. Proc. Rulings Release No. 5233, 2017 SEC LEXIS 3638 (ALJ Nov. 17, 2017).

See Gary L. McDuff, Admin. Proc. Rulings Release No. 3934, 2016 SEC LEXIS 2191, at *5–10 (ALJ June 22, 2016).