

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 5483 / January 22, 2018

Administrative Proceeding
File No. 3-17848

In the Matter of
James P. Griffin

Order Ratifying Prior Actions

I issued an initial decision in this matter on August 11, 2017. *James P. Griffin*, Initial Decision Release No. 1160, 2017 SEC LEXIS 2472. On November 30, 2017, the Commission remanded the proceeding to me, directed that I reconsider the record, including all substantive and procedural actions, and allowed the parties to submit by January 5, 2018, any new evidence that they deemed relevant. *See Pending Admin. Proc.*, Securities Act of 1933 Release No. 10440, 2017 SEC LEXIS 3724, at *2-3. I allowed each party to file a brief explaining the relevance of new evidence and identifying any challenged rulings, findings, or conclusions, and, if either party chose to submit a brief, I allowed the other party until January 16, 2018, to file a responsive brief. *James P. Griffin*, Admin. Proc. Rulings Release No. 5275, 2017 SEC LEXIS 3850 (ALJ Dec. 5, 2017). On January 5, 2018, the Division of Enforcement submitted a letter urging that I ratify my prior decisions, including the initial decision. No other submissions have been received.

I have reconsidered the record, including all my substantive and procedural orders, and I RATIFY all the prior actions I have taken in this proceeding.¹ The process contemplated by the Commission's November 30 remand order is complete.

Brenda P. Murray
Chief Administrative Law Judge

¹ My designation as the presiding administrative law judge has been ratified. *Pending Admin. Proc.*, Admin. Proc. Rulings Release No. 5247, 2017 SEC LEXIS 3780 (ALJ Dec. 4, 2017).