

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 5136/October 5, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-18165

In the Matter of :
:
GARY YIN : ORDER

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings on September 11, 2017, pursuant to Sections 15(b) of the Securities Exchange Act of 1934 and 203(f) of the Investment Advisers Act of 1940. The proceeding is a follow-on proceeding based on Respondent Gary Yin's conviction of conspiracy to commit offenses in *United States v. Yin*, No. 3:13-cr-3488 (S.D. Cal.)

As the parties request, the deadline for Respondent Yin's Answer will be October 25, 2017.

IT IS SO ORDERED.

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge