## UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Administrative Proceedings Rulings Release No. 5112 / September 28, 2017

Administrative Proceeding File No. 3-18098

In the Matter of

Alfred C. Teran

Order Finding Service and Scheduling Prehearing Conference

On September 27, 2017, the Division of Enforcement submitted a declaration regarding service of the order instituting proceedings (OIP) against Respondent Alfred C. Teran. Based on the declaration, I find that Mr. Teran was served with the OIP on September 16, 2017, by U.S. Postal Service certified mail with confirmation of receipt. 17 C.F.R. § 201.141(a)(2)(i). Accordingly, Mr. Teran's answer is due by October 10, 2017. OIP at 4; 17 C.F.R. §§ 201.160, .220(b).

The Securities and Exchange Commission's Rules of Practice govern this proceeding and are found at https://www.sec.gov/about/rules-of-practice-2016.pdf. Rule 220 describes the requirements for an answer. Rules 151 through 153 describe how to file papers with the Commission. In addition to filing papers with the Commission's Secretary, I request that the parties e-mail an electronic courtesy copy of all filings to my office at alj@sec.gov. Under Rules 155(a) and 220(f), if Mr. Teran fails to file an answer, he will be in default and the proceeding may be determined against him based on allegations in the OIP, which may be deemed to be true. 17 C.F.R. § 201.155(a), .220(f).

A telephonic prehearing conference shall be held on Friday, October 6, 2017, at 11:00 a.m. EDT (10:00 a.m. CDT). The Division shall promptly circulate dial-in instructions to Mr. Teran.

Cameron Elliot Administrative Law Judge