

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Administrative Proceedings Rulings  
Release No. 5092 / September 25, 2017

Administrative Proceeding  
File No. 3-18119

In the Matter of

**James M. Palladino**

**Order Finding Service**

Today, the Division of Enforcement submitted a declaration establishing that Respondent was personally served with the order instituting proceedings (OIP) on September 21, 2017. Accordingly, Respondent must file an answer by October 11, 2017. OIP at 2; 17 C.F.R. § 201.220(b).

The Securities and Exchange Commission's Rules of Practice govern administrative proceedings like this one. The Rules can be found at the following link: <https://www.sec.gov/about/rules-of-practice-2016.pdf>.

In particular, I direct Respondent's attention to Rule 220, which describes how to write an answer. Most importantly, an answer must admit or deny each allegation in the OIP or state a lack of sufficient information to admit or deny the allegation. 17 C.F.R. § 201.220(c). Additionally, Rules 150 through 154 describe the requirements for service of papers, filing papers with the Commission, and drafting motions. 17 C.F.R. §§ 201.150-.154.

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Jason S. Patil  
Administrative Law Judge