

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 4995 / August 23, 2017

Administrative Proceeding
File No. 3-18119

In the Matter of
James M. Palladino

Order Postponing Hearing

The Securities and Exchange Commission issued an order instituting proceedings (OIP) on August 18, 2017, pursuant to Section 15(b) of the Securities Exchange Act of 1934. A hearing is currently scheduled for September 18, 2017.

On August 23, 2017, the Division of Enforcement submitted a motion to postpone the hearing and schedule a telephonic prehearing conference. The Division stated that Respondent has not yet been served with the OIP.

I GRANT the Division's motion in part. I POSTPONE the hearing, but I will set a date and time for a prehearing conference and issue further instructions once the Division files documentation concerning service on Respondent.

Jason S. Patil
Administrative Law Judge