

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4818/May 23, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17883

In the Matter of

WARREN D. NADEL

ORDER FOLLOWING
PREHEARING CONFERENCE

I held a telephonic prehearing conference yesterday, at which Respondent and the Division of Enforcement appeared. Respondent's answer was timely submitted on May 8, 2015. In addition, the Division represented that the complete investigatory file had been produced to Respondent in prior litigation, obviating the need to make the documents available for inspection and copying. *See* 17 C.F.R. § 201.230(a)(1). The parties agreed to the following briefing schedule for motions for summary disposition, pursuant to Rule of Practice 250(b), 17 C.F.R. § 201.250(b):

- June 16, 2017: Motions for summary disposition are due.
- July 7, 2017: Opposition briefs are due.
- July 17, 2017: Reply briefs, if any, are due.

Electronic courtesy copies of the parties' submissions should be emailed to ALJ@sec.gov in PDF text-searchable format. Electronic copies of exhibits should not be combined into a single PDF file, but sent as separate attachments.

I will determine whether it is necessary to hold a hearing after considering the parties' briefs. The hearing will be held within the Eastern or Southern District of New York, if necessary.

Cameron Elliot
Administrative Law Judge