

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4800/May 16, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17888

In the Matter of

WILLIAM D. BUCCI

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:

ORDER TO SHOW CAUSE

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP) on March 22, 2017, pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940. The proceeding is a follow-on proceeding based on *United States v. Bucci*, No. 2:14-cr-191 (E.D. Pa. Apr. 25, 2017), *appeal pending*, No. 17-1956 (3d Cir.), in which Respondent William D. Bucci was convicted of securities fraud, mail fraud, and other violations.

The OIP provides that Respondent's Answer is due within twenty days of service of the OIP on him and that if he fails to answer, he may be deemed in default and the proceeding determined against him upon consideration of the OIP, the allegations of which may be deemed to be true. *See* OIP at 3; 17 C.F.R. § 201.220(b). The OIP was served on Respondent on April 24, 2017, by personal service, in accordance with 17 C.F.R. § 201.141(a)(2)(i). To date, Respondent has not filed an Answer or submitted any other correspondence.

Accordingly, Respondent is ORDERED TO SHOW CAUSE, by June 1, 2017, why he should not be deemed to be in default and be barred from associating with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization and from participating in an offering of penny stock. *See* OIP at 2; 17 C.F.R. §§ 201.155(a), .220(f).

IT IS SO ORDERED.

/s/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge