UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS Release No. 4707/March 24, 2017

ADMINISTRATIVE PROCEEDING File No. 3-17856

In the Matter of

JOHN AUSTIN GIBSON, JR.

ORDER SCHEDULING PREHEARING CONFERENCE

On March 23, 2017, the Division submitted a declaration with evidence suggesting that Respondent was served with the order instituting proceedings on March 4, 2017. I will address service, among other things, at a telephonic prehearing conference, which will be held on March 31, 2017, at 2:30 p.m. EDT.

James E. Grimes Administrative Law Judge