

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4662/March 8, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17716

In the Matter of

ROBERT L. BAKER,
JACOB B. HERRERA,
MICHAEL D. BOWEN and
TERRENCE A. BALLARD

ORDER EXTENDING TIME TO
HOLD PREHEARING
CONFERENCE

On March 2, 2017, the Division of Enforcement notified my office that because of changes in the representation of Respondent Michael D. Bowen, the parties could not meet the deadline established in my February 15, 2017, order to hold a prehearing conference and file a joint prehearing conference statement. *See Robert L. Baker*, Admin. Proc. Rulings Release No. 4606, 2017 SEC LEXIS 467. Pursuant to notices of withdrawal submitted on March 3 and March 6, Respondents Bowen and Robert L. Baker will no longer be represented by Haynes and Boone, LLP, effective March 10 and March 13, respectively.

Despite these changes in representation, the parties must still hold a prehearing conference without the hearing officer to discuss the numbered items in Rule of Practice 221(c), 17 C.F.R. § 201.221(c).¹ I ORDER the parties to hold a prehearing conference and submit a joint statement addressing the matters described in my January 27, 2017, order by March 24, 2017. *See Robert L. Baker*, Admin. Proc. Rulings Release No. 4563, 2017 SEC LEXIS 286. If the parties are unable to hold a prehearing conference and submit a statement within the time provided, the Division shall submit a status report explaining the reasons for the delay and providing an updated schedule for when the parties could reasonably comply.

Jason S. Patil
Administrative Law Judge

¹ On March 3, 2017, I found Respondent Terrence A. Ballard in default. *Robert L. Baker*, Admin. Proc. Rulings Release No. 4652, 2017 SEC LEXIS 659. I understand that he is unlikely to participate in any prehearing conference.