

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 4649/March 3, 2017

ADMINISTRATIVE PROCEEDING  
File No. 3-17699

In the Matter of  
  
SHERVIN NEMAN and  
NEMAN FINANCIAL, INC.

ORDER FOLLOWING  
PREHEARING CONFERENCE  
AND SETTING ANSWER AND  
BRIEFING DEADLINES

Yesterday, I held a telephonic prehearing conference. In attendance were the Division of Enforcement and Shervin Neman, on behalf of himself and Neman Financial, Inc. Neman, who is incarcerated, requested additional time to file an answer on behalf of Respondents. The Division opposed the request. Having considered the arguments made during the prehearing conference, I ORDER that Respondents' answer is now due March 24, 2017.

Also during the conference, the Division indicated its intent to move for summary disposition if Respondents timely file an answer. Accordingly, I FURTHER ORDER that the Division's motion for summary disposition is due April 7, 2017, and that Respondents' opposition is due May 5, 2017. The Division may file an optional reply by May 12, 2017.

As noted during the conference, if Respondents fail to timely file an answer, I will rule on the Division's previously filed motion for default and sanctions.

A subsequent prehearing conference may be scheduled as appropriate, and any further scheduling issues will be addressed at that time.

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Jason S. Patil  
Administrative Law Judge