## UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS Release No. 4618/February 22, 2017

ADMINISTRATIVE PROCEEDING File No. 3-16349

In the Matter of

BARBARA DUKA

ORDER REGARDING TRANSCRIPT CORRECTIONS

On February 17, 2017, the parties submitted a joint stipulation to correct the hearing transcript under Commission Rule of Practice 302(c). *See* 17 C.F.R. § 201.302(c). Consistent with the stipulation, I ORDER the following corrections to the hearing transcript:

Page and Line Number	<b>Current Transcript</b>	<b>Corrected Transcript</b>
Throughout transcript	D-1	B-1
globally (e.g., 5:4, 233:4)	D-1	D-1
159:25-160:1	table of contents	Table 1 Constants
559:9	contingency	consistency
595:6	hit	get
608:4	pool	pooled
608:8	pools	pooled
608:22	pool	pooled
608:24	pool	pooled
609:5	pool	pooled
695:13	clauses	classes
727:19	loan	model
Throughout transcript		
globally ( <i>e.g.</i> , 731:15,	Hiaxin	Haixin
731:24, 732:5, 733:24)		
734:18	collaborate	calibrate
734:19	collaborate	calibrate
744:8	debt services model	debt service in the model
753:1	affect	effect
763:4	stressing	stress in
802:24	grow	agree
805:18	2012	2011

Page and Line Number	Current Transcript	Corrected Transcript
816:5-6	I gather there would have been no reason to disclose	I gather there would have been no reason not to disclose
853:19	million	billion
857:2	evaluation	valuation
887:20	chain	change
945:20	deal	deals
1003:11	weighting	rating
1004:12	would have called	would not have called
1015:25	directly	director
1022:21	comments	comes
1023:11	as a mature	as an insurer
1133:14	2009	2010
1197:19	adapted	adopted
1250:21	conclusion	inclusion
1250:25	honestly	honestly didn't
1276:13	Erenstein	Ernestine
1276:18	Erenstein	Ernestine
1284:13	I don't believe	I do believe
1399:17	attempted	attended
1467:15	molded	modeled
1545:20	2.3	2.1
1985:8	BP's	b piece
1985:11	BP's	b piece
2010:11	royal	roil

The court reporter is directed to issue a complete, amended transcript implementing these corrections. The amended transcript should also be provided in electronic format to my office.

James E. Grimes Administrative Law Judge