

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4537/January 23, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17747

In the Matter of

BRIAN C. ROSE

ORDER POSTPONING
HEARING AND SCHEDULING
PREHEARING CONFERENCE

On January 18, 2017, the Division of Enforcement moved to adjourn the scheduled January 30, 2017, hearing and schedule a telephonic prehearing conference for that date instead, which “will allow the parties and [me] to determine an efficient manner in which to adjudicate these proceedings.” I GRANT the Division’s motion in part and POSTPONE the January 30, 2017 hearing. A telephonic prehearing conference will be held at 11:00 a.m. Eastern, February 16, 2017.

Additionally, I ORDER the Division to provide a declaration regarding service of the order instituting proceedings on Respondent by January 27, 2017.

Cameron Elliot
Administrative Law Judge