

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4529/January 17, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17393

In the Matter of

CURTIS A. PETERSON

ORDER

Following the December 28, 2016, telephonic oral argument in this matter, Respondent submitted a supplemental brief to address certain issues. The Division of Enforcement may file its response by January 26, 2017.

Jason S. Patil
Administrative Law Judge