UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS Release No. 4506/January 9, 2017

ADMINISTRATIVE PROCEEDING File No. 3-17674

In the Matter of

ALEXANDER KON

ORDER GRANTING MOTION TO AMEND ANSWER

On January 3, 2017, Respondent moved to amend his answer under Rule of Practice 220(e) to assert an affirmative defense based on the Appointments Clause of Article II of the United States Constitution. The Division of Enforcement does not oppose Respondent's motion. Therefore, the motion is GRANTED; Respondent must file his amended answer by January 17, 2017, per the parties' agreed scheduling order.

Cameron Elliot Administrative Law Judge