UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS Release No. 4502/January 6, 2017

ADMINISTRATIVE PROCEEDING File No. 3-17313

In the Matter of

ORDER SETTING SCHEDULE
FOR RESPONSIVE BRIEFS TO
DIVISION'S MOTION IN LIMINE

On January 5, 2017, the Division of Enforcement submitted a motion in limine to preclude Respondent's affirmative defense of reasonable reliance on professional advice. Accompanying this motion was a letter with the parties' jointly proposed briefing schedule, which I will ADOPT with the following modifications:

Respondent's opposition to the motion in limine, if any, is due by January 23, 2017.

The Division's reply is due by February 2, 2017.

James E. Grimes Administrative Law Judge