

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4337/November 9, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-15619

In the Matter of

JOSEPH P. DOXEY

STAY ORDER

On November 3, 2016, the parties participated in a confidential settlement conference with Administrative Law Judge James E. Grimes. On November 8, 2016, the Division of Enforcement filed a motion to stay the proceedings on behalf of both parties. In the motion, the Division represents that Respondent submitted a signed and notarized offer of settlement on November 7, 2016, which the Division anticipates submitting to the Securities and Exchange Commission for resolution. For good cause shown, it is ORDERED that the motion is GRANTED, and the proceeding is stayed, contingent upon compliance with Rule 161(c)(2), 17 C.F.R. § 201.161(c)(2).

Cameron Elliot
Administrative Law Judge