

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4231/October 6, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17393

In the Matter of

CURTIS A. PETERSON

SCEDULING ORDER

On August 23, 2016, the Securities and Exchange Commission issued an order instituting proceedings (OIP) against Respondent. Per my instructions, the parties filed a joint prehearing conference statement on September 29, 2016. The joint statement notes that Respondent waives his right to a hearing between thirty and sixty days after service of the OIP, and confirms that such service occurred on August 26, 2016. Further, the joint statement reflects the parties' agreement that this matter may be resolved by summary disposition, and proposes a briefing schedule, which I ADOPT as follows:

- October 21, 2016: Division of Enforcement's motion for summary disposition is due.
- November 21, 2016: Respondent's opposition is due, including materials concerning inability to pay. An inability to pay defense should be accompanied by a Disclosure of Assets and Financial Information Form, which the Division shall provide to Respondent on his request. *See* 17 C.F.R. § 201.630(a)-(b).
- December 7, 2016: Division's reply is due.

In addition to the required filing with the Office of the Secretary, electronic courtesy copies of filings should be emailed to alj@sec.gov in both PDF text-searchable format and, when possible, Microsoft Word format. Electronic copies of exhibits should not be combined into a single PDF file, but submitted as separate attachments.

Jason S. Patil
Administrative Law Judge