

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4204/September 27, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17319

In the Matter of

JAN E. HELEN

ORDER ON MOTION

On June 28, 2016, the Securities and Exchange Commission issued an order instituting proceedings (OIP) against Respondent pursuant to Section 8A of the Securities Act of 1933, Sections 15(b) and 21C of the Securities Exchange Act of 1934, Sections 203(f) and 203(k) of the Investment Advisers Act of 1940, and Section 9(b) of the Investment Company Act of 1940. A hearing will begin on November 14, 2016.

On September 27, 2016, the Division of Enforcement requested a telephonic prehearing conference to discuss the logistics of the hearing. I ORDER a telephonic prehearing conference at 2:00 p.m. EDT on October 26, 2016, a date and time that are acceptable to the parties.

Brenda P. Murray
Chief Administrative Law Judge