

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4163/September 16, 2016

ADMINISTRATIVE PROCEEDING
File Nos. 3-16227, 3-16229

In the Matter of

MIDDLEBURY SECURITIES, LLC

GREGORY OSBORN

ORDER FOLLOWING PREHEARING
CONFERENCE

Yesterday, a telephonic prehearing conference occurred during which I heard oral argument on a number of discrete issues relevant to the Division of Enforcement's motion for summary disposition. All parties appeared.

As discussed during the conference, Middlebury Securities, LLC, may file by January 13, 2017, financial information relevant to its inability to pay defense.

SO ORDERED.

Cameron Elliot
Administrative Law Judge