

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4016/July 22, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-16463

In the Matter of

AEGIS CAPITAL, LLC,
CIRCLE ONE WEALTH MANAGEMENT, LLC,
DIANE W. LAMM,
STRATEGIC CONSULTING ADVISORS, LLC, AND
DAVID I. OSUNKWO

ORDER

On July 22, 2016, the Division of Enforcement submitted a letter, requesting it be allowed to file an amended default motion as to Respondent Circle One Wealth Management, LLC. I GRANT the Division's request. The Division should file its amended default motion by July 29, 2016, and provide additional evidence establishing that both Aegis Capital, LLC, and Circle One were investment advisers.

James E. Grimes
Administrative Law Judge