UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS Release No. 3981/July 12, 2016

ADMINISTRATIVE PROCEEDING File No. 3-16463

In the Matter of

AEGIS CAPITAL, LLC, CIRCLE ONE WEALTH MANAGEMENT, LLC, DIANE W. LAMM, STRATEGIC CONSULTING ADVISORS, LLC, AND DAVID I. OSUNKWO STAY ORDER AS TO DIANE W. LAMM

On July 12, 2016, this office received a joint motion to stay this proceeding based upon Respondent Diane W. Lamm's and the Division of Enforcement's agreement in principle to a settlement that will resolve the proceeding on all major terms.

For good cause shown, the joint motion is GRANTED and the proceeding is STAYED as to Diane W. Lamm, subject to compliance with Rule of Practice 161(c)(2), 17 C.F.R. § 201.161(c)(2), requiring that this office be notified promptly if any of the requirements of the Rule are not met.

James E. Grimes Administrative Law Judge