

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3776/April 11, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-16509

In the Matter of

EDWARD M. DASPIN, a/k/a “EDWARD (ED) MICHAEL”;
LUIGI AGOSTINI; and
LAWRENCE R. LUX

ORDER TO SUBMIT
EXHIBIT LIST

I held a sealed hearing in this matter on February 11, 2016, during which a number of exhibits were admitted into evidence. The Division of Enforcement then filed those exhibits under seal with the Office of the Secretary on February 12.

To facilitate preparation of a record index, I ORDER the Division by April 15, 2016, to e-mail my office (but not file) a list of exhibits admitted into evidence during the February 11 hearing.¹ The exhibit list should be in MS Excel or Word format and contain columns with the following information: the exhibit number; a description of the exhibit; the Bates-stamp numbers, if any; and page(s) in the hearing transcript in which the exhibit was offered and admitted.

James E. Grimes
Administrative Law Judge

¹ To clarify, I deem exhibit 1A admitted, in addition to exhibits 1 through 12. *See* Tr. at 67.