

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3693/March 10, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17131

In the Matter of

MARK A. LOPEZ

ORDER POSTPONING HEARING AND
SCHEDULING PREHEARING
CONFERENCE

On February 24, 2016, the Securities and Exchange Commission issued an order instituting proceedings (OIP) against Respondent pursuant to Section 15(b) of the Securities Exchange Act of 1934. A hearing is currently scheduled for March 21, 2016.

On March 7, 2016, the Division of Enforcement filed a motion to convert the March 21 hearing to a telephonic prehearing conference and for leave to move for summary disposition. The motion states that Respondent is incarcerated at the Sheridan Federal Correction Institution in Oregon and that the Division has spoken to relevant personnel there regarding scheduling, but has so far been unable to speak to Respondent or receive confirmation that service of the OIP on him is complete.

The Division's motion is GRANTED IN PART. I POSTPONE the March 21, 2016, hearing and ORDER that a telephonic prehearing conference be held at 2:30 p.m. Eastern on that date. The Division shall coordinate with the correctional institution to arrange for Respondent's appearance at the conference.

Because service on Respondent has not yet been established, I DEFER RULING on whether to grant leave for the filing of summary disposition motions and will address that issue during the prehearing conference.

Brenda P. Murray
Chief Administrative Law Judge