

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3684/March 8, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17070

In the Matter of

3C ADVISORS & ASSOCIATES, INC.,
STEPHEN JONES, AND
DAVID PROLMAN

ORDER FOLLOWING PREHEARING
CONFERENCE

On January 27, 2016, the Securities and Exchange Commission issued an order instituting proceedings (OIP) against Respondents pursuant to Sections 15(b) and 21C of the Securities Exchange Act of 1934. Respondents 3C Advisors & Associates, Inc., and Stephen Jones submitted their answer on March 1, 2016. On March 4, the parties filed a joint status report proposing a procedural schedule and establishing that service of the OIP on all Respondents was effected by February 10, 2016. On March 7, I held a telephonic prehearing conference during which I ordered that Respondent David Prolman's answer shall be due by March 18, 2016, and that his counsel shall file a notice of appearance by that date. I also set the following summary disposition schedule, *see* 17 C.F.R. § 201.250, and hearing date:

May 6, 2016: Motions for summary disposition are due.
May 20, 2016: Summary disposition oppositions are due.
May 31, 2016: Summary disposition replies are due.
August 30, 2016: Hearing commences in San Diego, CA, at a time and location to be determined.

I ORDER that the parties confer and submit by March 25, 2016, a revised procedural schedule that incorporates the above deadlines.

The parties also submitted stipulated proposed protective orders, which I construe as joint motions for entry of a protective order covering documents in the Division of Enforcement's investigative file that contain personally identifiable or sensitive financial information. As discussed during the conference, I will separately issue a protective order covering such documents. Any party may move to modify the protective order after it is issued.

Cameron Elliot
Administrative Law Judge