

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3633/February 22, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17070

In the Matter of

3C ADVISORS & ASSOCIATES, INC.,
STEPHEN JONES, AND
DAVID PROLMAN

ORDER

On January 27, 2016, the Securities and Exchange Commission issued an order instituting proceedings (OIP) against Respondents pursuant to Sections 15(b) and 21C of the Securities Exchange Act of 1934. On February 18, 2016, I postponed the hearing in this matter and scheduled a prehearing conference for March 7, 2016. *3C Advisors & Assocs., Inc.*, Admin. Proc. Rulings Release No. 3622, 2016 SEC LEXIS 609. Further, I noted that service of the OIP on Respondent David Prolman had not been confirmed and ordered the Division of Enforcement to provide an update on the status of service as to him by March 2, 2016. *Id.*

In a letter filed February 19, 2016, counsel for Prolman requested a thirty- to sixty-day extension of these proceedings so that Prolman can retain counsel with experience handling Commission matters.¹ I construe this request as a waiver of Prolman's right to a hearing within thirty to sixty days of service of the OIP. *See* 15 U.S.C. § 78u-3(b); OIP at 6.

Prolman's request is DENIED. If this matter proceeds to a hearing, such hearing would likely occur at least four months from now, providing Prolman sufficient time to retain counsel and prepare. *See* 17 C.F.R. § 201.360(a)(2). Prolman need not file an answer prior to the upcoming prehearing conference. At the conference, in addition to the topics referenced in my prior order, I will discuss with the parties what date Prolman was served with the OIP, and I will set a deadline for Prolman to file his answer. The Division should still provide an update on the status of service as to Prolman by March 2, 2016.

Cameron Elliot
Administrative Law Judge

¹ Prolman's counsel also requested a postponement of the hearing initially scheduled for March 7, 2016. This request is DENIED as moot because the hearing is already postponed.