

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3535/January 27, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-16594

In the Matter of

EQUITY TRUST COMPANY

:
:

ORDER

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings on June 16, 2015, pursuant to Section 8A of the Securities Act of 1933, and the hearing was held on December 1-10, 2015. The parties' January 22, 2016, Stipulated List of Corrections to the Hearing Transcripts is adopted and is attached as Attachment A.

IT IS SO ORDERED.

/S/ Carol Fox Foelak

Carol Fox Foelak

Administrative Law Judge

Attachment: Attachment A

**In the Matter of Equity Trust Company AP File No. 3-16594
Parties' Stipulated List of Corrections to Hearing Transcripts**

Page	Line	Original	Revision
25	8	talked	walked
27	4	Taylor	Taylor's
54	25	my	me
83	19	Not as the Federal Rules	As the Federal Rules
96	19	hype	tight
146	12	invest	investing
165	20	Ask	And
166	15	Taylor	Hill
241	22, 24	Khuman	Kuhman
255	12	yet	yes
268	6	open	opening
355	9	Khuman	Kuhman
388	9, 14, 21	Khuman	Kuhman
389	1	Khuman	Kuhman
441	17	legal	leading
489	2	Kelley	Kelly
584	21	something eat	something to eat
588	23	correct?	correct.
721	7	Andy	Randy
733	5	bravado	Bravata
789	6	80127	801-27
803	14	has	as
864	16	equity	Equity
946	12	fall	fault
969	11	Diamond	Dimon

1016	18	2003	2009
1040	23	say	see
1044	3	what	that
1077	9	74361	743-61
1158	15	Afdal	Afdahl
1158	17	Afdal	Afdahl
1268	22	bi	by
1411	6	security	secured
1437	22	Byron	Brian
1438	3	Byron	Brian
1499	18	401	401(k)
1515	15	Sims	Simmons
1584	1	Khuman	Kuhman
1619	2	impact	actual
1620	24	Simmons	Crimmins
1764	12	of Randy Poulson	of a Randy Poulson
1784	25	related	relayed
1793	15-16	can I net at that	Kinetra
1820	23	division	mission
1820	24	division	mission
1821	9	trade regulators	state regulators
1845	3	washing's	Washington's

During the hearing, counsel occasionally referred to earlier portions of the hearing transcript. Those transcript references were generally to the “rough,” or daily transcript because the final transcript was not yet available. The page numbers in the rough transcript, however, did not always match the corresponding page numbers in the final transcript. For this reason, transcript page references by counsel may not correspond to the quoted language. The table below shows references to the rough transcript in a question by counsel (“Original”) and the transcript cite in the final (“Revision”).

Page	Line	Original	Revision
340	14	120, line 22	111, line 6
342	1	188, line 6	172, line 8