

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3507/January 14, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-16801

In the Matter of

BENNETT GROUP FINANCIAL SERVICES, LLC, and
DAWN J. BENNETT

ORDER ON WITNESS LIST

The hearing in this matter is scheduled to begin on January 25, 2016. On January 13, 2016, the Division of Enforcement filed its witness list.

In its filing, the Division explains that because its experts will not be cross-examined, neither expert will be present during the hearing. List at 6. The Division's experts need not be physically present during the hearing. Because I anticipate the need to briefly question them, however, the Division should arrange a convenient time for its experts to be available during the hearing to provide telephonic testimony.

The Division also anticipates that in some instances it will offer "prior investigative testimony, deposition testimony, or a declaration or affidavit in lieu of testimony at the hearing." List at 7. In the event the Division offers prior sworn testimony into evidence, it should be prepared to address how admission of such prior sworn testimony is consistent with Commission Rule of Practice 235. *See* 17 C.F.R. § 201.235.

James E. Grimes
Administrative Law Judge