## UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### ADMINISTRATIVE PROCEEDINGS RULINGS Release No. 3337/November 23, 2015

# ADMINISTRATIVE PROCEEDING

File No. 3-16946

In the Matter of

### GEORGE CHARLES CODY PRICE

ORDER POSTPONING HEARING AND SCHEDULING PREHEARING CONFERENCE

On November 5, 2015, the Securities and Exchange Commission issued an Order Instituting Administrative Proceedings (OIP), pursuant to Section 203(f) of the Investment Advisers Act of 1940, against Respondent George Charles Cody Price. The OIP alleges that on June 26, 2015, a final judgment was entered by consent against Price, permanently enjoining him from future violations of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Exchange Act Rule 10b-5, and Sections 206(1), 206(2), and 206(4) of the Advisers Act and Advisers Act Rule 206(4)-8, in *SEC v. ABS Manager, LLC*, No. 13-cv-0319 (S.D. Cal.). A hearing is currently scheduled in this proceeding for November 30, 2015.

On November 18, 2015, the Division of Enforcement filed a status report, request for postponement of hearing, and request for prehearing conference. It signed by Division counsel and counsel for Price. The report asserts that the OIP was served on Price by sending copies of the OIP addressed to his last-known counsel, by U.S.P.S. certified mail, and that the return receipt card was received by the Office of the Secretary on November 16, 2015. Report at 1. The report further notes that Price has retained new counsel in this proceeding, and that counsel has confirmed that Price has been served with the OIP. *Id.* Absent additional evidence as to when Price was served with the OIP, I will deem November 16, 2015, as the date of service.

The parties request that the hearing scheduled for November 30 be postponed and that a prehearing conference be scheduled for that date. Report at 2.

### Ruling

To allow time for Price's Answer, I POSTPONE the hearing and ORDER a telephonic prehearing conference on November 30, 2015, at 12:30 p.m. EST/9:30 a.m. PST. At the prehearing conference, the parties should be prepared to discuss a procedural schedule to resolve this proceeding. Price's counsel is directed to file a notice of appearance. *See* 17 C.F.R. § 201.102(d)(2).

Brenda P. Murray Chief Administrative Law Judge