

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3336/November 20, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16936

In the Matter of

JONATHAN WARREN BROOKS

ORDER POSTPONING HEARING AND
SCHEDULING A PREHEARING
CONFERENCE

On November 2, 2015, the Securities and Exchange Commission issued an Order Instituting Administrative Proceedings (OIP) against Respondent. The Division of Enforcement has submitted proof of service establishing that the OIP was served on an agent authorized to accept service on Respondent's behalf on November 18, 2015. A hearing in this matter is currently scheduled for November 30, 2015. On November 19, the Division submitted a motion to postpone the hearing and schedule a prehearing conference for December 14, 2015.

The Division's motion is GRANTED. I POSTPONE the hearing and ORDER that a telephonic prehearing conference be held on December 14, 2015, at 10:30 a.m. EST. The Division shall coordinate with Respondent and the correctional institution in Kershaw, South Carolina, to arrange for Respondent's appearance at the conference. During the conference, the parties shall address each numbered item in Rule of Practice 221(c), 17 C.F.R. § 201.221(c), and proposed dates by which those items will be accomplished, where applicable. Specifically, the parties should be prepared to discuss a procedural schedule that will resolve this proceeding and whether summary disposition under Rule of Practice 250, 17 C.F.R. § 201.250, is appropriate. The parties should also be prepared to discuss: (1) if this matter cannot be resolved by summary disposition, a potential hearing location and how many days might be necessary to complete the hearing; and (2) the status of Respondent's efforts to obtain counsel.

The parties shall email courtesy copies of any filings in this proceeding in PDF text-searchable format to alj@sec.gov.

Jason S. Patil
Administrative Law Judge