UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS Release No. 3332/November 19, 2015

ADMINISTRATIVE PROCEEDING File No. 3-16498

In the Matter of

RUSSELL C. SCHALK, JR.

ORDER RESCHEDULING PREHEARING CONFERENCE

On November 16, 2015, I scheduled a prehearing conference for November 23, 2015, to discuss the parties' interpretation of the Order Instituting Proceedings (OIP). *Russell C. Schalk, Jr.*, Admin. Proc. Rulings Release No. 3321, 2015 SEC LEXIS 4718. In that order, I stated that the Division of Enforcement had not responded to my November 2 order directing the parties to submit a letter regarding their interpretation of the OIP. *Id.* After receiving the November 16 order, the Division provided this Office with a copy of the letter it had filed with the Office of the Secretary. The letter bears a stamp showing that it was filed with the Office of the Secretary on November 9, 2015. Because the Office of the Secretary neither logged the receipt of this letter nor provided this Office with a copy of it, I was unaware of it.

Due to a conflict on November 23, the Division has requested that the prehearing conference be rescheduled. Respondent does not object. I therefore ORDER that the prehearing conference is rescheduled to November 30, 2015, at 3:00 p.m. EST.

James E. Grimes Administrative Law Judge