

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 3253/October 23, 2015

ADMINISTRATIVE PROCEEDING  
File No. 3-16824

In the Matter of

DANIEL PAEZ

SCHEDULING ORDER

On September 21, 2015, the Securities and Exchange Commission issued an Order Instituting Administrative Proceedings (OIP) against Respondent pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940.

On October 2, 2015, I ordered the parties to hold an initial prehearing conference to discuss the scheduling of this proceeding and to file a joint prehearing statement by October 26, 2015. *Daniel Paez*, Admin. Proc. Rulings Release No. 3193, 2015 SEC LEXIS 4043. On October 6, 2015, the Division of Enforcement filed a declaration that, along with records from the Office of the Secretary, establishes that Respondent was served with the OIP by September 25, 2015. Accordingly, Respondent's Answer was due by October 19, 2015. *See* OIP at 3; 17 C.F.R. §§ 201.160(a)-(b), .220(b). As of today, Respondent has not filed an Answer.

Respondent, who is currently incarcerated, has filed a motion, dated October 5, 2015, but received by this Office on October 19, 2015. In the motion, Respondent requests an adjournment and postponement of the initial hearing date, which was scheduled for October 15, 2015, and which I have already postponed. He also expresses a desire to obtain counsel in order to, among other things, help prepare and file an Answer to the OIP. On October 22, 2015, the Division filed its report of the initial prehearing conference, stating that, due to Respondent's incomplete understanding of this proceeding, it was unable to come to agreement on many of the scheduling issues I had requested be discussed.

Accordingly, I schedule a telephonic prehearing conference to be held on Friday, November 13, 2015. The Division will confer with Respondent to determine a convenient time to hold the prehearing conference and then inform this Office, and shall also make arrangements for Respondent to join the prehearing conference. I also ORDER that the due date for Respondent's Answer is POSTPONED, and a new due date will be discussed at the prehearing conference. At the conference, the parties should be prepared to agree to a procedural schedule that will allow me to resolve this proceeding by no later than April 2016, which is when the initial decision is due. OIP at 3; 17 C.F.R. § 201.360(a)(2).

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Jason S. Patil  
Administrative Law Judge