## UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS Release No. 3192/October 2, 2015

ADMINISTRATIVE PROCEEDING File No. 3-16722		
In the Matter of	:	
ERIC A. BLOOM	:	PREHEARING ORDER

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP) on August 5, 2015, pursuant to Section 203(f) of the Investment Advisers Act of 1940 (Advisers Act). The proceeding is a follow-on proceeding based on *United States v. Bloom*, No. 1:12-cr-409 (N.D. III.), *appeal docketed*, No. 15-1445 (7th Cir. Mar. 4, 2015), in which Respondent Eric A. Bloom (Bloom), was convicted of wire fraud and other crimes. A prehearing conference was held today. Eric M. Phillips and James A. Davidson appeared on behalf of the Division of Enforcement (Division), and Douglas A. Albritton of Akerman LLP appeared on behalf of Bloom.

The parties hope to resolve this proceeding by settlement. If their settlement negotiations fail, the Division was granted leave to file a motion for summary disposition pursuant to 17 C.F.R. § 201.250, which will be due by November 2, 2015. Bloom may file an opposition by December 2, 2015, and the Division, a reply, by December 16, 2015.

IT IS SO ORDERED.

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge