

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3163/September 24, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16801

In the Matter of

BENNETT GROUP FINANCIAL SERVICES, LLC, and
DAWN J. BENNETT

ORDER POSTPONING
HEARING AND SCHEDULING
PREHEARING CONFERENCE

The Securities and Exchange Commission issued an Order Instituting Administrative and Cease-and-Desist Proceedings (OIP) in this matter on September 9, 2015. The OIP directs me to issue an initial decision within 300 days after service of the OIP. A hearing is currently scheduled for October 13, 2015.

On September 23, 2015, the Division of Enforcement filed a Consent Motion to Postpone Hearing and to Schedule Prehearing Conference. In the Motion, the Division represents that the parties have conferred and request that the hearing be postponed and a telephonic prehearing conference be scheduled for October 16, 2015. By email, the parties subsequently agreed to hold a telephonic prehearing conference on October 6, 2015.

In view of the foregoing, I ORDER the following:

1. The hearing scheduled to begin on October 13, 2015, is POSTPONED and a telephonic prehearing conference shall be held on October 6, 2015, at 2:00 p.m. EDT.
2. Prior to the prehearing conference, the parties shall confer and discuss: (1) the date of the hearing in this matter; (2) the most convenient location for the hearing in this matter; and (3) the prehearing schedule. In doing so, the parties should bear in mind the guidance found at 5 U.S.C. § 554(b) and 17 C.F.R. § 201.200(c). Additionally, Respondents have a right to a hearing between thirty and sixty days after service of the OIP. OIP at 12. If Respondents exercise that right, the hearing in this matter will commence on a date to be determined within that time period. The hearing date will be discussed during the prehearing conference.
3. In advance of the prehearing conference, the parties shall by letter, filed consistent with 17 C.F.R. § 201.152:

- a) notify this Office of the results of their discussion;
 - b) promptly notify this Office if Respondents wish to exercise their right to begin the hearing between thirty and sixty days of service of the OIP; and
 - c) notify this Office of the date they have agreed the hearing should begin.
4. The Division of Enforcement shall promptly notify this Office when Respondents or their counsel are served with the OIP.

James E. Grimes
Administrative Law Judge