

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3129 / September 14, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16223

In the Matter of

SANDS BROTHERS ASSET MANAGEMENT, LLC,
STEVEN SANDS,
MARTIN SANDS, AND
CHRISTOPHER KELLY

ORDER ON
PROCEDURAL SCHEDULE

The parties have submitted a joint proposed procedural schedule to resolve the remaining claims in this proceeding, which I adopt as follows:

October 8, 2015: Parties file and exchange exhibit and witness lists and testimony designations, if any.

Parties exchange pre-marked exhibits.

October 19, 2015: Parties file objections to exhibits and witnesses, if any.

Parties exchange proposed stipulations.

October 26, 2015: Parties file stipulations.

November 2, 2015: Parties file motions in limine, if any, and prehearing briefs.

November 5, 2015: Final prehearing conference at 11:00 a.m. EST.

November 9, 2015: Hearing begins at 9:30 a.m. EST at a venue to be determined in New York, NY.

The parties should bring electronic versions of their exhibits to the hearing. Hearing exhibits are not filed with the Office of the Secretary until after the hearing. Dates for filing of expert reports and objections to such reports have not been set to allow the parties additional time to confer on the subject.

Electronic courtesy copies of filings in PDF text-searchable format should be emailed to ALJ@sec.gov. Although I encourage the parties to serve each other electronically, Rules of Practice 150 and 151 govern service of papers by the parties and the procedure for filing papers with the Commission. 17 C.F.R. §§ 201.150, .151.

SO ORDERED.

Cameron Elliot
Administrative Law Judge