

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3015/August 6, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16706

In the Matter of

SACHIN K. UPPAL

ORDER POSTPONING HEARING AND
SCHEDULING PREHEARING CONFERENCE

On July 28, 2015, the Securities and Exchange Commission issued an Order Instituting Proceedings (OIP) against Sachin K. Uppal, pursuant to Section 203(f) of the Investment Advisers Act of 1940. Uppal's Answer to the OIP's allegations is due twenty days after service of the OIP on him. *See* OIP at 2; 17 C.F.R. § 201.220(b).

The hearing currently scheduled for August 31, 2015, is POSTPONED. A telephonic prehearing conference shall be held on August 10, 2015 at 3:30 p.m. EDT. The parties should be prepared to discuss a procedural schedule to resolve this proceeding.

James E. Grimes
Administrative Law Judge