

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2845/June 23, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16175

In the Matter of

KENNETH C. MEISSNER,
JAMES DOUG SCOTT, and
MARK S. "MIKE" TOMICH

ORDER

The Securities and Exchange Commission instituted this proceeding on September 25, 2014. The hearing as to Respondent James Doug Scott (Scott) is set to begin at 9:30 a.m. EDT, on July 9, 2015, at the U.S. Custom House, Courtroom 300, 200 Chestnut Street, Philadelphia, PA 19106.

On June 22, 2015, this Office received an email from Scott, in which he asserts that he has not received the full investigative file. The import of the email is otherwise not entirely clear. For example, the email appears to suggest that the parties are in agreement that this proceeding may be decided based on the current summary disposition filings.

It is ORDERED that the Division of Enforcement shall transmit to Scott a complete copy of the investigative file in a readily readable format, such as PDF on compact discs or thumb drives, no later than Monday, June 29, 2015.

It is FURTHER ORDERED that, if the parties agree that this proceeding may be resolved based on the current record, the parties shall file a joint Notice to that effect no later than Monday, June 29, 2015.

Cameron Elliot
Administrative Law Judge