

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2734 / May 28, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16178

In the Matter of

GREGORY T. BOLAN, JR. AND
JOSEPH C. RUGGIERI

NOTICE TO THE PARTIES

The hearing in this proceeding was held in March and April 2015. Opening post-hearing briefs have been filed, and responsive post-hearing briefs are due by June 8.

I notify the parties that I will consider and address the constitutional objection made at the hearing by counsel for Respondent Joseph C. Ruggieri. Tr. 3058-59.

If the Division wishes to submit a response to Respondent's objection, it may file a supplemental brief of no more than five pages by June 12. If the Division files a response, Respondent may file a reply brief of no more than five pages by June 18.

Jason S. Patil
Administrative Law Judge