

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 2593/April 27, 2015

ADMINISTRATIVE PROCEEDING  
File No. 3-16277

In the Matter of

DOUGLAS L. SWENSON, CPA

ORDER TAKING OFFICIAL NOTICE  
PURSUANT TO 17 C.F.R. § 201.323

On November 14, 2014, the Securities and Exchange Commission issued an Order Instituting Administrative Proceedings (OIP) against Respondent pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Rule 102(e)(2) of the Commission's Rules of Practice. The OIP alleges that a jury in *United States v. Swenson*, No. 1:13-cr-00091 (D. Id.) (*Swenson*), convicted Respondent of forty-four counts of securities fraud in violation of Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and thirty-four counts of wire fraud in violation of 18 U.S.C. § 1343. OIP at 2.

Pursuant to Rule 323 of the Commission's Rules of Practice, I take official notice of docket sheet, proceedings, record, and all filings filed in *Swenson*, in particular, the Memorandum Decision and Order the district court issued on August 15, 2014, denying Respondent's Rule 29 motion. *See* 17 C.F.R. § 201.323.

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James E. Grimes  
Administrative Law Judge