

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2459/March 24, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16427

In the Matter of

ROBERT J. LUNN

ORDER POSTPONING HEARING AND
SCHEDULING PREHEARING CONFERENCE

On March 10, 2015, the Securities and Exchange Commission issued an Order Instituting Administrative Proceedings (OIP) against Respondent pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940. A hearing is currently scheduled for April 6, 2015.

On March 23, 2015, the Division of Enforcement submitted a motion requesting that the hearing be postponed and a telephonic prehearing conference be held on March 26, 2015. U.S. Postal Service records establish that Respondent was served with the OIP by March 16, 2015, and therefore his Answer is due by Wednesday, April 8, 2015. *See* OIP at 3; 17 C.F.R. §§ 201.141(a)(2), .160(b), .220(b).

The motion is GRANTED IN PART, the hearing is postponed, and the parties are ORDERED to hold an initial prehearing conference without the hearing officer by April 1, 2015, to discuss each numbered item in Rule 221(c), 17 C.F.R. § 201.221(c), including the date by which each item will be accomplished. By April 8, 2015, the parties shall file a joint prehearing conference statement, which addresses each numbered item in Rule 221(c), and includes proposed due dates where applicable, as well as the proposed location for the hearing.¹ Based on this prehearing conference statement, a subsequent prehearing conference with the hearing officer will be scheduled.

Jason S. Patil
Administrative Law Judge

¹ The parties may denote that an item is “not applicable” in their filing.