

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 2365 / February 27, 2015

ADMINISTRATIVE PROCEEDING
FILE NO. 3-15350

In the Matter of the Application of

SECURITIES INDUSTRY AND FINANCIAL
MARKETS ASSOCIATION

For Review of Actions Taken by
Self-Regulatory Organizations

ORDER ON CONSENT MOTION
TO EXTEND PREHEARING
SCHEDULE

On May 16, 2014, the Securities and Exchange Commission issued an Order Establishing Procedures and Referring Applications for Review to Administrative Law Judge for Additional Proceedings. *Sec. Indus. & Fin. Mkts. Ass'n*, Securities Exchange Act of 1934 Release No. 72182, 2014 SEC LEXIS 1686. On October 20, 2014, I issued an order setting forth a prehearing schedule and designating that a hearing would begin on February 2, 2015. *Sec. Indus. & Fin. Mkts. Ass'n*, Admin. Proc. Rulings Release No. 1921, 2014 SEC LEXIS 3924, at *26. On November 21, 2014, I granted an extension of the prehearing schedule, which included rescheduling the hearing to April 20, 2015. *Sec. Indus. & Fin. Mkts. Ass'n*, Admin. Proc. Rulings Release No. 2042, 2014 SEC LEXIS 4435. On January 13, 2015, I granted an extension of time for certain prehearing filings. *Sec. Indus. & Fin. Mkts. Ass'n*, Admin. Proc. Rulings Release No. 2212, 2015 SEC LEXIS 129.

On February 27, 2015, this Office received a Consent Motion to Extend Prehearing Schedule from the Securities Industry and Financial Markets Association (SIFMA). The motion seeks to modify certain due dates in the prehearing schedule to allow the parties to better prepare for the hearing while not delaying the hearing date. SIFMA represents that the other parties, NYSE Arca, Inc. (NYSE Arca), and Nasdaq Stock market, LLC (Nasdaq), do not object to the requested modification. Accordingly, I adopt the proposed schedule contained in SIFMA's motion, and ORDER the following schedule:

- March 6, 2015: SIFMA shall provide NYSE Arca and Nasdaq with a list of its witnesses, copies of exhibits, and any written expert testimony;
- March 27, 2015: Prehearing briefs shall be filed; and

April 20, 2015: Hearing shall begin at 9:30 a.m. at the Securities and Exchange Commission, Hearing Room 2, 100 F Street, N.E., Washington, D.C. 20549.

Brenda P. Murray
Chief Administrative Law Judge