

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2354/February 26, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16349

In the Matter of

BARBARA DUKA

ORDER FOLLOWING
PREHEARING CONFERENCE

The Securities and Exchange Commission issued an Order Instituting Administrative and Cease and Desist Proceedings (OIP) against Respondent Barbara Duka (Respondent) on January 21, 2015, pursuant to Section 8A of the Securities Act of 1933, Sections 15E(d) and 21C of the Securities Exchange Act of 1934, and Section 9(b) of the Investment Company Act of 1940.

A telephonic prehearing conference was held on February 25, 2015, and was attended by the Division of Enforcement and Respondent's counsel. Respondent's counsel confirmed that service of the OIP occurred on January 30, 2015, and that Respondent waives her statutory right to a hearing between thirty and sixty days after service of the OIP. *See* 15 U.S.C. §§ 77h-1(b), 78u-3(b); OIP at 11. The parties agreed to the following briefing schedule for motions for summary disposition:

May 8, 2015: Motions for summary disposition due;

May 22, 2015: Oppositions due; and

June 1, 2015: Replies due.

The parties also agreed that the hearing will begin on September 16, 2015, at a location to be determined in New York City.

SO ORDERED.

Cameron Elliot
Administrative Law Judge