

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 2307/February 11, 2015

ADMINISTRATIVE PROCEEDING  
File No. 3-16335

In the Matter of

DUANE HAMBLIN SLADE

ORDER FOLLOWING  
PREHEARING CONFERENCE

The Securities and Exchange Commission commenced this proceeding on January 13, 2015, with an Order Instituting Administrative Proceedings, pursuant to Section 203(f) of the Investment Advisers Act of 1940.

A telephonic prehearing conference was held today, attended by the Division of Enforcement (Division) and Respondent, who appeared *pro se*. Respondent and the Division agreed that Respondent was served on January 21, 2015, and Respondent was ORDERED to file an Answer by February 20, 2015. The parties agreed to the following briefing schedule for motions for summary disposition:

April 10, 2015: Motions for summary disposition due;

May 1, 2015: Oppositions due; and

May 11, 2015: Replies due.

SO ORDERED.

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Cameron Elliot  
Administrative Law Judge