

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 2291/February 6, 2015

ADMINISTRATIVE PROCEEDING  
File No. 3-16358

In the Matter of

SPECTRUM CONCEPTS, LLC,  
DONALD JAMES WORSWICK,  
MICHAEL NICHOLAS GROSSO, AND  
MICHAEL PATRICK BROWN

ORDER POSTPONING HEARING  
AND SCHEDULING PREHEARING  
CONFERENCE

The Securities and Exchange Commission (Commission) issued an Order Instituting Cease-and-Desist Proceedings (OIP) on January 23, 2015, pursuant to Section 8A of the Securities Act of 1933 and Section 21C of the Securities Exchange Act of 1934. The hearing is currently scheduled to commence on February 27, 2015. On February 5, 2015, the Division of Enforcement (Division) filed a Status Report on Service of Process on Respondents Spectrum and Worswick, Production of Investigative File Pursuant to Rule 230, and Motion to Postpone Hearing and Schedule an Initial Prehearing Conference (Motion).

The Division reports that service has been completed on Respondents Spectrum Concepts, LLC (Spectrum), and Donald James Worswick (Worswick), representing that on January 29, 2015, the OIP was personally served by a process server on Worswick, who is also Spectrum's registered agent. Motion at 2. I find that Respondents Spectrum and Worswick were served on January 29, 2015, in accordance with Commission Rule of Practice 141(a)(2)(i), (ii). 17 C.F.R. § 201.141(a)(2)(i), (ii). Spectrum's and Worswick's Answers are due by February 18, 2015. OIP at 7; 17 C.F.R. § 201.220(b). The Division also reports that service of process efforts on Respondents Michael Nicholas Grosso (Grosso) and Michael Patrick Brown (Brown) are continuing.<sup>1</sup> Motion at 2.

The Division requests that the hearing scheduled for February 27, 2015, be postponed and an initial prehearing conference be scheduled for February 26, 2015.

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<sup>1</sup> The Commission sent the OIP by Certified Mail to Grosso and Brown at addresses in Rocky Point, New York, and Boca Raton, Florida, respectively. Tracking for these two mailings shows that the OIP was delivered to Brown on January 29, 2015, and was forwarded on February 6, 2015, to a different address in Rocky Point, New York, for Grosso.

To allow time for the Division to complete service on the remaining Respondents and to allow time for the Respondents to file Answers, I POSTPONE the hearing scheduled to begin on February 27, 2015, and ORDER a prehearing conference for February 26, 2015, at 9:30 a.m. EST.

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Brenda P. Murray  
Chief Administrative Law Judge