

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 2276/February 2, 2015

ADMINISTRATIVE PROCEEDING

File No. 3-16337

In the Matter of	:	
	:	
BRENT F. WILLIAMS	:	POSTPONEMENT ORDER

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP) on January 13, 2015, pursuant to Section 203(f) of the Investment Advisers Act of 1940, and the hearing was scheduled to commence on February 9, 2015. The proceeding is a follow-on proceeding based on *United States v. Williams*, No. 2:09-cr-01492 (D. Ariz. June 28, 2013), in which Respondent Brent F. Williams (Williams) was convicted of mail fraud, wire fraud, conspiracy to commit mail and wire fraud, and money laundering, in violation of 18 U.S.C. §§ 1341, 1343, 1349, and 1957(a). The OIP was served on Williams in accordance with 17 C.F.R. § 201.141(a)(2)(i) on January 21, 2015.¹

The Division of Enforcement has requested that the hearing be postponed and a prehearing conference scheduled on February 11, 2015. To allow time for Williams's Answer,² consistent with 17 C.F.R. § 201.161, the hearing will be postponed *sine die*, and a prehearing conference will be held by telephone during the week of March 30, 2015, if the proceeding has not been resolved by then. The Division will arrange with officials at the prison where Williams is incarcerated for a date and time when he can be made available.

IT IS SO ORDERED.

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge

¹ Williams was served with the OIP by U.S. Postal Service Certified Mail delivery to his current address, La Tuna FCI. 17 C.F.R. § 201.141(a)(2)(ii). The U.S. Postal Service website shows delivery of the mailing, Tracking Number 7014 0150 0001 8508 2736, on January 21, 2015.

² Williams's Answer is due within twenty days of service on him. See OIP at 2; 17 C.F.R. § 201.220(b). If he fails to file an Answer within the time provided, he will be deemed to be in default, and the undersigned will enter an order barring him from the securities industry. See OIP at 2; 17 C.F.R. §§ 201.155(a)(2), .220(f).