

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2241/January 21, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16130

In the Matter of

SEAN C. COOPER

ORDER MODIFYING PREHEARING
SCHEDULE

On January 20, 2015, this Office received witness and exhibit lists from the parties, in accordance with the prehearing schedule.

On January 21, 2015, this Office received a “First Amended Exhibit List” from Respondent, that added Respondent’s Exhibit 62, “The May 7, 2014 Final Award issued in the arbitration proceeding entitled *WestEnd Capital Management, L.L.C. v. Sean C. Cooper*, JAMS Ref. No. 1100071836 along with all previously issued Awards,” and Respondent’s Exhibit 63, “All reports prepared by Mr. Cooper’s expert witness(es).” Although any expert reports of Respondents need not be disclosed until February 2, 2015, I accept this amended exhibit list as timely filed.

Respondent’s amended filing also advised this office that Respondent received a supplemental document production from WestEnd Capital Management on January 20, 2015, and experienced “IT-related delays associated with opening the documents provided by the Commission in Concordance format.” Based on these representations, I have determined that Respondent may further supplement his exhibit list from these sources up until January 30, 2015. The Division may also file a supplemental exhibit list by that date based on a representation of good cause for such supplementation.

The February 25, 2015, prehearing conference will take place by telephone, at 9:00 a.m. PST.

SO ORDERED.

Jason S. Patil
Administrative Law Judge