

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 2047/November 24, 2014

ADMINISTRATIVE PROCEEDING  
File No. 3-16134

In the Matter of

JOHN ALLAN RUSSELL

ORDER EXTENDING TIME TO ANSWER

On September 17, 2014, the Securities and Exchange Commission issued an Order Instituting Proceedings (OIP) against Respondent pursuant to Section 203(f) of the Investment Advisers Act of 1940. A telephonic prehearing conference (PHC) was held on October 14, 2014, attended by Nancy K. Ferguson for the Division of Enforcement and Russell, who appeared *pro se*. At the PHC, I deemed that Respondent was served with the OIP on October 14, 2014, and that his Answer was due on Monday, November 3, 2014. *See* OIP at 2; 17 C.F.R. § 201.220. On November 10, 2014, having not received Respondent's Answer, I ordered that Respondent show cause by November 24, 2014, why this proceeding should not be determined against him due to his failure to file an Answer or otherwise defend this proceeding. *John Allan Russell*, Admin. Proc. Rulings Release No. 1999, 2014 SEC LEXIS 4271.

On November 23, 2014, this Office received an email from Respondent, requesting an extension of time and explaining that he was unable to file his Answer because he underwent surgery and had been unable to obtain counsel.<sup>1</sup> I find that Respondent has shown good cause for not filing an Answer and ORDER that his Answer is now due by December 12, 2014. 17 C.F.R. § 201.161. Absent extraordinary circumstances, which do not include Respondent's inability to obtain counsel, there will be no further extensions of time.

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Cameron Elliot  
Administrative Law Judge

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<sup>1</sup> I remind the parties that they should communicate with this Office by formal filings only, and that they must follow Commission Rules of Practice 151, 152, and 153. *See* 17 C.F.R. §§ 201.151-53. I have submitted Respondent's email to the Office of the Secretary for filing, but future filings should be mailed in hardcopy to the Office of the Secretary, U.S. Securities and Exchange Commission, 100 F. Street N.E., Mail Stop 1090, Washington, D.C. 20549. Filings made with the Office of the Secretary must include an original and three copies. Courtesy copies of filings may be transmitted to this Office by email at [alj@sec.gov](mailto:alj@sec.gov).