

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 2022/November 17, 2014

ADMINISTRATIVE PROCEEDING

File No. 3-15736

In the Matter of

RYAN C. KING

ORDER SCHEDULING
PREHEARING CONFERENCE

The Securities and Exchange Commission instituted this proceeding on February 4, 2014, with an Order Instituting Administrative and Cease-and-Desist Proceedings and Imposing Remedial Sanctions and a Cease-and-Desist Order and Notice of Hearing (OIP), pursuant to Section 8A of the Securities Act of 1933, Sections 15(b) and 21C of the Securities Exchange Act of 1934, and Section 9(b) of the Investment Company Act of 1940.

The OIP: (1) made certain findings of fact; (2) found that Respondent Ryan C. King willfully aided and abetted and caused violations of Sections 17(a)(1) and 17(a)(3) of the Securities Act, Sections 10(b) and 17(a) of the Exchange Act, and Exchange Act Rules 10b-5 and 17a-3(a)(2); (3) ordered King to cease and desist from committing or causing violations of any of the aforementioned provisions of the securities laws; (4) barred King from the securities industry with the right to reapply after three years; and (5) ordered King to pay disgorgement of \$22,606.80 and prejudgment interest of \$1,503.66. The OIP directed that additional proceedings be held to determine what, if any, civil penalty is in the public interest. It also directed that I issue an initial decision no later than 120 days after the issuance of the initial decision in the proceeding instituted against Thomas Gonnella, Admin. Proc. File No. 3-15737.

I issued the initial decision with respect to Thomas Gonnella on November 13, 2014. On November 14, 2014, the parties informed this Office that they are available for a prehearing conference on December 2, 2014, at 2:00 p.m. EST. Accordingly, it is ORDERED that a telephonic prehearing conference will be held on December 2, 2014, at 2:00 p.m. EST.

James E. Grimes
Administrative Law Judge