

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2009/November 12, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15943

In the Matter of

DAVID J. MONTANINO

POST-HEARING ORDER

The hearing in this administrative proceeding was held from November 3, 2014, through November 7, 2014. At the conclusion of the hearing, I issued instructions to the parties. This Order memorializes and supplements those instructions.

1. The parties should provide my Office with electronic copies of all admitted exhibits at their earliest convenience.
2. The parties are responsible for filing copies of their respective exhibits, both admitted and offered but not admitted, in hardcopy with the Commission's Office of the Secretary, and must do so by December 19, 2014. *See* 17 C.F.R. §§ 201.350, .351.
3. Each party must file a list of admitted exhibits and exhibits offered but not admitted by December 19, 2014. This exhibit list should be in MS Excel or Word format and specify the exhibit number; description of the exhibit; Bates-stamp numbers, if any; and page(s) in the hearing transcript in which the exhibit was offered and admitted, if applicable.
4. The parties may file simultaneous opening post-hearing briefs by December 19, 2014. Opening post-hearing briefs shall not exceed 14,000 words. A motion for leave to exceed the word limit must be filed by December 12, 2014. The parties may file proposed findings of fact and conclusions of law, consistent with 17 C.F.R. § 201.340, at the time opening post-hearing briefs are filed.
5. Any responsive post-hearing briefs are due by January 16, 2015, and shall not exceed 7,000 words.

James E. Grimes
Administrative Law Judge