

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1971/November 3, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15918

In the Matter of

DENNIS J. MALOUF

ORDER FOLLOWING
PREHEARING CONFERENCE

A telephonic prehearing conference was held on October 31, 2014, and attended by counsel for the Division of Enforcement and Respondent. At the prehearing conference, the parties agreed to the following deadlines:

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| November 5, 2014: | Prehearing briefs are due; |
| November 5, 2014: | Respondent will file an opposition to the Division's Motion to Quash Subpoenas Issued to Commission Attorneys, if necessary; |
| November 7, 2014: | Division will file a motion to strike Respondent's Rebuttal Report of Jerry DeNigris; |
| November 7, 2014: | Respondent will update his witness list and designate each witness as "will call" or "may call"; |
| November 10, 2014: | Oppositions to motions in limine are due; |
| November 14, 2014: | Respondent's opposition to the Division's motion to strike Respondent's Rebuttal Report of Jerry DeNigris is due. |

Additionally, I GRANTED the Division's requests (1) for the opportunity for both parties to conduct direct testimony, for no longer than thirty minutes, on their respective experts; and (2) for both parties to create an updated master exhibit list, eliminating duplicates from each party's exhibit list, and to submit the master exhibit list at the start of the hearing.

Jason S. Patil
Administrative Law Judge